# Computational Complexity of NL1 with Assumptions

#### Maria Bulińska

University of Warmia and Mazury, Olsztyn, Poland

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• Lambek Calculus (associative and non-associative) was introduced by Lambek in 1958 in order to consider formal grammars as deductive systems.

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- The P-TIME decidability for Classical Non-associative Lambek Calculus (NL) was established by de Groote and Lamarche in 2002.
- Buszkowski in 2005 showed that systems of Non-associative Lambek Calculus with finitely many nonlogical axioms are decidable in polynomial time and grammars based on these systems generate context-free languages.

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- To obtain this result the method used by Buszkowski in (2005) was adapted.

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- $At = \{p, q, r, ...\}$  the denumerable set of atoms (also called primitive types)
- $\bullet$  Tp1 the set of formulas (also called types):
  - $1 \in Tp1$ ,
  - At  $\subseteq$  Tp1,
  - if  $A, B \in \mathrm{Tp1}$ , then
    - $(A \bullet B) \in \operatorname{Tp1}, (A/B) \in \operatorname{Tp1}, (A \setminus B) \in \operatorname{Tp1}$ , where binary connectives  $\setminus$ , /,  $\bullet$ , are called *left residuation, right residuation*, and *product*, respectively.

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#### Notations:

- X[Y] a formula structure X with a distinguished substructure Y
- X[Z] the substitution of Z for Y in X



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$$(\backslash \mathbf{L}) \quad \frac{Y \to A; \quad X[B] \to C}{X[Y \circ (A \backslash B)] \to C}, \qquad \quad (\backslash \mathbf{R}) \quad \frac{A \circ X \to B}{X \to A \backslash B},$$



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$$\frac{Y \to A; \quad X[A] \to B}{X[Y] \to B}$$
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For any system S we write  $S \vdash X \rightarrow A$  if the sequent  $X \rightarrow A$  is derivable in S.

# NL1 with assumptions

By NL1(Γ) we denote the calculus NL1 with additional set Γ
 of assumptions, where Γ is a finite set of sequents of the form
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   of assumptions, where Γ is a finite set of sequents of the form
   A → B, and A, B ∈ Tp1.
- We use in Γ sequents of the form A → B for simplicity, but the set Γ may consist of arbitrary sequents.
- It is easy to show that for any finite set of sequents  $\Gamma$  there is a set  $\Gamma'$  of sequents of the form  $A \to B$  such that systems  $\mathrm{NL1}(\Gamma)$  and  $\mathrm{NL1}(\Gamma')$  are equivalent.

#### Remarks

• The decidable procedure for NL1 rely on cut elimination which yields the subformula property.

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- The decidable procedure for NL1 rely on cut elimination which yields the subformula property.
- For the case of  $\mathrm{NL1}(\Gamma)$  cut elimination is not possible, hence for this system subformula property is established in a different way.

## T-sequents

• Let T be a set of formulas closed under subformulas and such that  $\mathbf{1} \in T$  and all formulas appearing in  $\Gamma$  belong to T.

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- T-sequent a sequent  $X \to A$  such that A and all formulas appearing in X belong to T.
- We write:  $NL1(\Gamma) \vdash X \to_{\mathcal{T}} A$  if a sequent  $X \to A$  has a proof in  $NL1(\Gamma)$  consisting of T-sequents only.

# Subformula property for $NL1(\Gamma)$

#### Lemma 1

For every T-sequents  $X \rightarrow A$ ,

$$\mathrm{NL1}(\Gamma) \vdash X \to A$$
 iff  $\mathrm{NL1}(\Gamma) \vdash X \to_{\mathcal{T}} A$ .

# Subformula property for $NL1(\Gamma)$

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- The most general algebraic models of NL1: residuated groupoids with identity.
- The model used in the proof of lemma 1: The residuated groupoid with identity of cones over the given preordered groupoid with identity.

# Remarks to the proof of lemma 1

The preordered groupoid considered in the proof is a structure  $(M, \leq, \circ, \Lambda)$ , where

- M is a set of all formula structures all of whose atomic substructures belong to T and  $\Lambda \in M$
- Preordering  $\leq$  is a reflexive and transitive closure of the relation  $\leq_b$  defined as follows:
  - $Y[Z] \leq_b Y[\Lambda]$  if  $Z \rightarrow_T \mathbf{1}$ ,
  - $Y[Z] \leq_b Y[A]$  if  $Z \rightarrow_T A$ ,
  - $Y[A \bullet B] \leq_b Y[A \circ B]$  if  $A \bullet B \in T$ .

# Remarks to the proof of lemma 1

In the proof we use the fact, that every sequent provable in  $NL1(\Gamma)$  is true in the model  $(\mathcal{C}(M), \mu)$ , where

- C(M) is the residuated groupoid of cones with identity over preordered groupoid  $(M, \leq, \circ, \Lambda)$  defined above,
- An assignment  $\mu$  on  $\mathcal{C}(M)$  is defined by setting:

$$\mu(p) = \{X \in M : X \to_{\mathcal{T}} p\},\$$

for all atoms p.



## Basic sequents

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- We remaind that T is a finite set of formulas, closed under subformulas and such that  $\mathbf{1} \in T$  and T contains all formulas appearing in  $\Gamma$ .
- For such T we shall describe an effective procedure which produces the set  $S^T$  consists of all basic sequents derivable in  $NL1(\Gamma)$ .

Let  $S_0$  consists of

 $\bullet \ \Lambda \to 1$ 

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- all *T*-sequents of the form (Id)

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- all T-sequents of the form (Id)
- all sequents from Γ
- all *T*-sequents of the form:
  - $\mathbf{1} \circ A \rightarrow A$ ,  $A \circ \mathbf{1} \rightarrow A$ ,
  - $A \circ B \rightarrow A \bullet B$ ,
  - $A \circ (A \backslash B) \rightarrow B$ ,  $(A/B) \circ B \rightarrow A$ .

rules:

Assume  $S_n$  has already been defined.  $S_{n+1}$  is  $S_n$  enriched with sequents resulting from the following

Assume  $S_n$  has already been defined.

 $S_{n+1}$  is  $S_n$  enriched with sequents resulting from the following rules:

- (S1) if  $(A \circ B \to C) \in S_n$  and  $(A \bullet B) \in T$ , then  $(A \bullet B \to C) \in S_{n+1}$ ,
- (S2) if  $(A \circ X \to C) \in S_n$  and  $(A \setminus C) \in T$ , then  $(X \to A \setminus C) \in S_{n+1}$ ,
- (S3) if  $(X \circ B \to C) \in S_n$  and  $(C/B) \in T$ , then  $(X \to C/B) \in S_{n+1}$ ,
- (S4) if  $(\Lambda \to A) \in S_n$  and  $(A \circ X \to C) \in S_n$ , then  $(X \to C) \in S_{n+1}$ ,



- (S5) if  $(\Lambda \to A) \in S_n$  and  $(X \circ A \to C) \in S_n$ , then  $(X \to C) \in S_{n+1}$ ,
- (S6) if  $(A \to B) \in S_n$  and  $(B \circ X \to C) \in S_n$ , then  $(A \circ X \to C) \in S_{n+1}$ ,
- (S7) if  $(A \to B) \in S_n$  and  $(X \circ B \to C) \in S_n$ , then  $(X \circ A \to C) \in S_{n+1}$ ,
- (S8) if  $(A \circ B \to C) \in S_n$  and  $(C \to D) \in S_n$ , then  $(A \circ B \to D) \in S_{n+1}$ .

- (S5) if  $(\Lambda \to A) \in S_n$  and  $(X \circ A \to C) \in S_n$ , then  $(X \to C) \in S_{n+1}$ ,
- (S6) if  $(A \to B) \in S_n$  and  $(B \circ X \to C) \in S_n$ , then  $(A \circ X \to C) \in S_{n+1}$ ,
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- (S8) if  $(A \circ B \to C) \in S_n$  and  $(C \to D) \in S_n$ , then  $(A \circ B \to D) \in S_{n+1}$ .

Clearly,  $S_n \subseteq S_{n+1}$  for all  $n \ge 0$ .

We define  $S^T$  as the join of this chain.



# Properties of the set $S^T$

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- $S^T$  is a set of basic sequents, hence it must be finite.
- It yields  $S^T = S_{k+1}$ , for the least k such that  $S_k = S_{k+1}$ , and this k is not greater then the number of basic sequents.

### Fact

The set  $S^T$  can be constructed in polynomial time.

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### Proof.

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- The set  $S_0$  can be constructed in time  $O(n^2)$ .

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- To get  $S_{i+1}$  from  $S_i$  we must close  $S_i$  under the rules (S1)-(S8) which can be done in at most  $m^3$  steps for each rule.

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- To get  $S_{i+1}$  from  $S_i$  we must close  $S_i$  under the rules (S1)-(S8) which can be done in at most  $m^3$  steps for each rule.
- The least k such that  $S^T = S_k$  is at most m.
- Then finely, we can construct  $S^T$  from T in time  $0(m^4) = 0(n^{12})$ .



## Auxiliary systems

Now we take into consideration two auxiliary systems.

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### Lemma 2

For any sequent  $X \rightarrow A$ :

$$S(T) \vdash X \to A$$
 iff  $S(T)^- \vdash X \to A$ .



## Interpolation for S(T)

### Lemat 3. Interpolation lemma for S(T)

If  $S(T) \vdash X[Y] \rightarrow A$ , then there exists  $D \in T$  such that

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### Lemma 4

For any T-sequent  $X \to A$ :

$$NL1(\Gamma) \vdash X \rightarrow_T A$$
 iff  $S(T) \vdash X \rightarrow A$ .

#### Theorem 1

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- As T we choose the set of all subformulas of formulas appearing in  $X \to A$ , formulas appearing in  $\Gamma$  and  $\mathbf{1} \in T$ .
- Hence, T has n elements and we can construct it in time  $O(n^2)$ .

• By lemma 1 and 4 we have:

$$\text{NL1}(\Gamma) \vdash X \to A \quad \text{iff} \quad X \to_{\mathcal{T}} A, \\ X \to_{\mathcal{T}} A \quad \text{iff} \quad S(\mathcal{T}) \vdash X \to A.$$

• By lemma 1 and 4 we have:

$$NL1(\Gamma) \vdash X \to A \quad \text{iff} \quad X \to_T A,$$
  
 $X \to_T A \quad \text{iff} \quad S(T) \vdash X \to A.$ 

 Proofs in S(T) are in fact derivation trees of a context-free grammar whose production rules are the reversed sequents from S<sup>T</sup>.

- By lemma 1 and 4 we have:  $NL1(\Gamma) \vdash X \rightarrow A$  iff  $X \rightarrow_{\mathcal{T}} A$ ,  $X \rightarrow_{\mathcal{T}} A$  iff  $S(\mathcal{T}) \vdash X \rightarrow A$ .
- Proofs in S(T) are in fact derivation trees of a context-free grammar whose production rules are the reversed sequents from  $S^T$ .
- Checking derivability in context-free grammars is P-TIME decidable. For example, by known CYK algorithm, it can be done in time not exceed  $k \cdot n^3$ , where k is the size of  $S^T$ .

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- The size of  $S^T$  is at most  $O(n^3)$  and  $S^T$  can be constructed in  $O(n^{12})$ .

- By lemma 1 and 4 we have:  $NL1(\Gamma) \vdash X \rightarrow A$  iff  $X \rightarrow_{\mathcal{T}} A$ ,  $X \rightarrow_{\mathcal{T}} A$  iff  $S(\mathcal{T}) \vdash X \rightarrow A$ .
- Proofs in S(T) are in fact derivation trees of a context-free grammar whose production rules are the reversed sequents from  $S^T$ .
- Checking derivability in context-free grammars is P-TIME decidable. For example, by known CYK algorithm, it can be done in time not exceed  $k \cdot n^3$ , where k is the size of  $S^T$ .
- The size of  $S^T$  is at most  $O(n^3)$  and  $S^T$  can be constructed in  $O(n^{12})$ .

Hence, the total time is  $O(n^{12})$ , i.e.  $NL1(\Gamma)$  is P-TIME decidable.



### Further results

Theorem 1 can also be proven for systems:

•  $NL1P(\Gamma)$  -  $NL1(\Gamma)$  with the permutation rule

### Further results

Theorem 1 can also be proven for systems:

- $\mathrm{NL1P}(\Gamma)$   $\mathrm{NL1}(\Gamma)$  with the permutation rule
- ullet GLC( $\Gamma$ ) Generalized Lambek Calculus with assumptions enriched with the permutation rule and/or identity for some product symbols

## Main bibliography

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