

Valued Difference Fields

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Valued difference fields

- ▶ Let (K, ν) be a valued field, with k its residue field and \mathcal{O}_ν its valuation ring.
- ▶ If $\sigma \in \text{Aut}(K)$ with $\sigma(\mathcal{O}_\nu) = \mathcal{O}_\nu$ then σ induces automorphisms:
 σ_ν on $\nu(K)$ and $\bar{\sigma}$ on k ; σ_ν strictly increasing:
 $\gamma < \delta \Rightarrow \sigma(\gamma) < \sigma(\delta)$
- ▶ $(k, \bar{\sigma})$ is a difference field

In this case we say that (K, ν, σ) is a **valued difference field**.

Remark

Any perfect valued field of equal characteristic ($p > 0$) is a valued difference field.

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σ -polynomials

σ -polynomials: A finite sum of σ -monomials which are of the form

$$ax^{i_0}(\sigma(x))^{i_1} \dots (\sigma^n(x))^{i_n},$$

where a is said to be the **coefficient** of M and the $n + 1$ -tuple (i_0, i_1, \dots, i_n) be the **index** of M , denoted by $ind(M)$. We consider $n + 1$ tuples of integers under the partial ordering induced by \mathbb{N} .

linear σ -polynomials:

$$a_0x + a_1\sigma(x) + \dots + a_n\sigma^n(x).$$

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σ -linear polynomials (:polynomial functions) has a (non-commutative) ring structure under addition and composition. K is a module over this ring.

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$\mathbb{Z}[\sigma_v]$ -module $v(K)$

- ▶ For $\gamma \in v(K)$, $x \in K$ with $v(x) = \gamma$ and a σ monomial M , we set

$$\gamma \cdot M := v(a_j x^{i_0} (\sigma(x))^{i_1} \dots (\sigma^n(x))^{i_n}) = v(a_j) + \sum_{j=0}^n i_j \sigma_v^j(\gamma),$$

The action of the $\{\cdot M \mid M \text{ a } \sigma\text{-monomial with coefficient } 1\}$ induces a $\mathbb{Z}[\sigma_v]$ -module structure on $v(K^\times)$.

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Ax-Kochen and Ershov Principles

We want to have that: Given two valued difference fields (K, v, σ) and (K', v', σ') such that

- ▶ $(k, \bar{\sigma}) \equiv (k', \bar{\sigma}')$ as difference fields and
- ▶ $v(K^\times) \equiv v(K'^\times)$ as $\mathbb{Z}[\sigma]$ -modules

then $(K, v, \sigma) \equiv (K', v', \sigma')$ as valued difference fields.

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Compatible couples of functions

Definition

Let (K, v) be a valued field (or any expansion of a valued abelian group) and $A \subseteq K$. A couple of functions (f, f_v) where $f : K \rightarrow K$ and $f_v : v(K) \rightarrow v(K)$ is said to be **A -compatible** (or compatible on A) if $v(f(a)) = f_v(v(a))$ for all $a \in A$.

Example

- ▶ a (σ) -monomial couple: $(M, \cdot M)$ is everywhere compatible.
- ▶ (σ, σ_v) is everywhere compatible.

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Polynomial couples $(P, \cdot P)$

- ▶ For $P = \sum_j M_j$ a σ -polynomial and for $\gamma \in \Gamma$ we set $\gamma \cdot P := \min_j \{\gamma \cdot M_j\}$
- ▶ !: $(P, \cdot P)$ is in general not a compatible couple: if x a non-zero root of P , $v(P(x)) = \infty > v(x) \cdot P$.

We have the following general philosophy:

In nice valued structures, definable functions of one variable (polynomials, σ -polynomials, linear σ -polynomials, additives polynomials etc..) induces couples that we can easily describe where they are incompatible.

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Regularity

An element $a \in K$ is said to be **regular** for a (σ -) polynomial P , if $v(P(a)) = v(a) \cdot P$ (in other words if $(P, \cdot P)$ is $\{a\}$ -compatible). Otherwise we say that it is **irregular**.

Remark

A “regular non-zero root” does not make sense and 0 is always a regular root of any polynomial without constant term.

We will consider polynomials without constant term and equations of type $P(x) = b$ ($b \neq 0$) and say that “ a is a regular solution” if $P(a) = b$ with a regular for P .

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Finding regular elements

To have an (A-K,E) principle, one may ask:

Given a (σ -)polynomial P (at least for P in some *significant class*) and $a \in K$,

Q1 is there an element x , regular for P , s.t. $v(P(x)) = v(a)$?
(Picking the valuation of a ; \rightsquigarrow weak-continuity of P)

Q2 is there an element x , regular for P , s.t.
 $v(P(x) - a) > v(a)$? (Picking the residue class of a)

Q3 is there an element x , regular for P , s.t. $P(x) = a$? (Picking a)

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First problem : Jump values

$$\text{Jump}(P) := \{v(x) \mid x \text{ irregular for } P\}$$

example: Take $P(X) := X^p - X$, $K := \mathbb{F}_p(t)$. $\text{Jump}(P) = \{0\}$ and every $x \in K$ with $v(x) = 0$ is irregular.

- ▶ $\text{Jump}(P)$ is finite $\Rightarrow P$ is “continuous” : for every pseudo-Cauchy (p.c.) sequence $(a_\rho)_\rho$ in K , with a limit a , $(P(a_\rho))_\rho$ has limit $P(a)$.
- ▶ If $P \in K[X]$ or if P is any σ -polynomial with σ contractive ($:\sigma_v(\gamma) > n\gamma$ for all $\gamma > 0$ and $n \in \mathbb{N}$) then $\text{Jump}(P)$ is finite.
- ▶ if σ is not contractive this can be drastically false: because $a_{\rho+1} - a_\rho$ can be always irregular for P .
- ▶ if σ_v is auto-increasing then σ -linear polynomials are continuous.

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The case of Kaplansky fields

A linear σ -polynomial is one of the form:

$$a_n \sigma^n(x) + \cdots + a_1 x.$$

Let (K, v) is of equal characteristic (p, p) ($p > 0$). An **additive polynomial** is a linear Frob-polynomial, i.e. is of the form:

$$a_n p^n(x) + \cdots + a_1 x$$

Definition

A valued field (K, v) is said to be **Kaplansky** if $v(K)$ is p -divisible and if every equation of the form $P(x) = b$ where $P \in k[X]$, is additive, has solutions in k ; it is said to be algebraically maximal if it has no proper algebraic extension with same residue field and same value group (that is it has no *immediate* algebraic extension).

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$$a_n p^n(x) + \cdots + a_1 x$$

Definition

A valued field (K, v) is said to be **Kaplansky** if $v(K)$ is p -divisible and if every equation of the form $P(x) = b$ where $P \in k[X]$, is additive, has solutions in k ; it is said to be algebraically maximal if it has no proper algebraic extension with same residue field and same value group (that is it has no *immediate* algebraic extension).

Two very similar characterization of algebraically maximal Kaplansky fields

Theorem (O.)

A Kaplansky field is algebraically maximal if and only if every equation of the form $P(x) = b$ ($b \neq 0$), where $P \in K[X]$ is additive, has a regular solution.

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Algebraically maximal Kaplansky fields are *nice*:

- ▶ We have (A-K,E) principle for algebraically maximal Kaplansky fields.
- ▶ Theorem (O.): They are \mathbb{C} -minimal as *valued modules* over the ring of its additive polynomials, whenever they have a divisible value group.

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General case

(*) We suppose $\bar{\sigma}^n \neq Id$ on k , for all $n \in \mathbb{N} \setminus \{0\}$.

Lemma (weak continuity)

Given a p.c. sequence $(a_\rho)_\Omega$ in K , $a \in K$, such that $(a_\rho)_\rho$ converges to a and a σ -polynomial P , we can find a p.c. sequence $(b_\lambda)_\lambda$ such that $(a_\rho)_\rho$ and $(b_\lambda)_\lambda$ have same limits, $(P(b_\rho))_\rho$ converges to $P(a)$.

Proof.

(Main trick) Using above assumption we can find $(b_\lambda)_{\lambda \in \Lambda}$ with Λ cofinal in Ω , such that $b_{\lambda+1} - b_\lambda$ is eventually regular for P . \square

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Searching the significant class

From now on we consider the case of equal characteristic $(0, 0)$.

Definition

Given a σ -polynomial P we denote $Lin(P)$ the σ -linear part of P . Let $a \in K$, we say that (P, a) is in σ -hensel configuration if there exists $\gamma \in \Gamma$ such that

1. $v(P(a)) = \gamma \cdot Lin(P)$
2. $\gamma \cdot M < \gamma \cdot M'$ whenever M, M' are monomials of P such that $(0, \dots, 0) \neq ind(M) < ind(M')$.

Finding regular solutions

Lemma

Suppose that (K, v, σ) is σ -algebraically maximal and $(k, \bar{\sigma})$ is linearly difference closed, that is: for every $\bar{\sigma}$ -linear Q , and $c \in k$ the equation $Q(x) = c$ has solution in k .

Conclusion: *For every σ -polynomial P and $b \in K^\times$ if for some $a \in K$ such that $v(P(a)) = b$, (P, a) is in σ -hensel configuration then there is a regular solution of the equation $P(x) = b$.*

Definition

(K, v, σ) is said to be σ -henselian if the conclusion of the above lemma holds.

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Draft Results

- ▶ All algebraic, immediate, σ -algebraically maximal extensions of a valued difference field with a linearly difference closed residue field are isomorphic.
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